

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Applicant(s): G. H. H. et al.

Docket No.

C-586

Serial No.

MAR 31 2004

Filing Date

Examiner

Group Art Unit

Invention:

POLYMERIC DISPERSANT

I hereby certify that this Declaration and Power of Attorney

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under  
37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C.

20231-0001 on March 31, 2004

(Date)

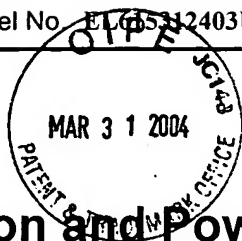
Elizabeth C. Reyes

(Typed or Printed Name of Person Mailing Correspondence)

  
(Signature of Person Mailing Correspondence)EL615312403US

("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.



Docket No.

C-586

# Declaration and Power of Attorney For Patent Application

## English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled  
Polymeric Dispersant

the specification of which

(check one)

☐ is attached hereto.

☒ was filed on December 5, 2003 as United States Application No. or PCT International Application Number 10/730,212  
and was amended on \_\_\_\_\_

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, or plant breeder's rights certificate(s), or 365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

_____	_____
(Application Serial No.)	(Filing Date)
_____	_____
(Application Serial No.)	(Filing Date)
_____	_____
(Application Serial No.)	(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)
_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)
_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

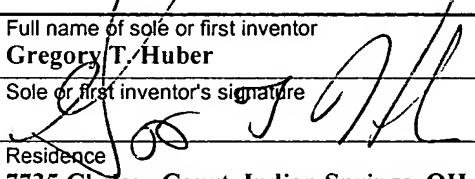
**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(list name and registration number)*

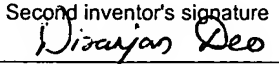
Sidney Persley, Esq. Registration No. 34,898

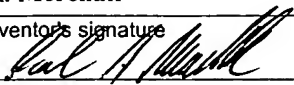
Theresa O'Rourke, Esq. Registration No. 40,747

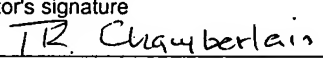
Send Correspondence to: Sidney Persley, Esq.  
Sun Chemical Corporation  
222 Bridge Plaza South  
Fort Lee, NJ 07024

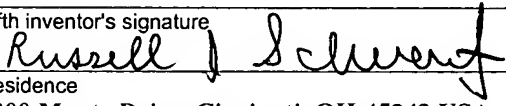
Direct Telephone Calls to: *(name and telephone number)*  
Sidney Persley, Esq. (201) 224-4600 Ext. 278

Full name of sole or first inventor <b>Gregory T. Huber</b>	
Sole or first inventor's signature 	Date <b>3/15/04</b>
Residence <b>7735 Chelsea Court, Indian Springs, OH 45011 USA</b>	
Citizenship <b>USA</b>	
Post Office Address <b>7735 Chelsea Court, Indian Springs, OH 45011 USA</b>	

Full name of second inventor, if any <b>Niranjan Deo</b>	
Second inventor's signature 	Date <b>3/24/04</b>
Residence <b>5754 Running Fox Lane, Mason, OH 45040 USA</b>	
Citizenship <b>USA</b>	
Post Office Address <b>5754 Running Fox Lane, Mason, OH 45040 USA</b>	

Full name of third inventor, if any <b>Paul A. Merchak</b>	
Third inventor's signature 	Date <b>3/16/04</b>
Residence <b>10063 Fox Chase Drive, Loveland, OH 45140 USA</b>	
Citizenship <b>USA</b>	
Post Office Address <b>10063 Fox Chase Drive, Loveland, OH 45140 USA</b>	

Full name of fourth inventor, if any <b>Terence R. Chamberlain</b>	
Fourth inventor's signature 	Date <b>3/19/04</b>
Residence <b>11710 Laurelview Drive, Montgomery, OH 45246 USA</b>	
Citizenship <b>British</b>	
Post Office Address <b>11710 Laurelview Drive, Montgomery, OH 45246 USA</b>	

Full name of fifth inventor, if any <b>Russell J. Schwartz</b>	
Fifth inventor's signature 	Date <b>3-23-04</b>
Residence <b>8300 Monte Drive, Cincinnati, OH 45243 USA</b>	
Citizenship <b>USA</b>	
Post Office Address <b>8300 Monte Drive, Cincinnati, OH 45243 USA</b>	

Full name of sixth inventor, if any	
Sixth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): G. Huber, et. al.

Docket No.

C-586

Serial No.

Filing Date

MAR 31 2004

Examiner

Group Art Unit

Invention:

POLYMERIC DISPERSANT

I hereby certify that this Assignment of Rights

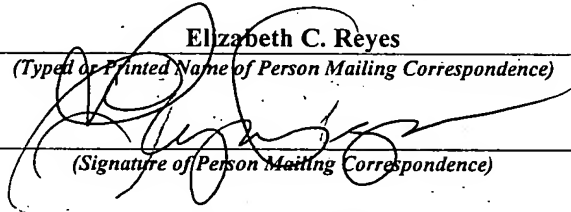
(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C.

20231-0001 on March 31, 2004  
(Date)

Elizabeth C. Reyes

(Typed or Printed Name of Person Mailing Correspondence)

  
(Signature of Person Mailing Correspondence)EL615312403US

("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.

## PATENTS ONLY

Tab settings → → → ▼

To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

**Gregory T. Huber**                      **Russell J. Schwartz**  
**Niranjana Deo**  
**Paul A. Merchak**  
**Terence R. Chamberlain**

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

## 2. Name and address of receiving party(ies):

Name: Sun Chemical CorporationAddress: 222 Bridge Plaza SouthCity: Fort LeeState/Prov.: NJCountry: USAZIP: 07024

Additional name(s) &amp; address(es)

☐ Yes ☐ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_Execution Date: 3/15/04; 3/16/04; 3/19/04; 3/23/04; 3/24/04

## 4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

Patent Application No.

Filing date

B. Patent No.(s)

10/730,21212/05/03

Additional numbers

☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Sidney Persley, Esq.Registration No. 34,898Address: Sun Chemical Corporation222 Bridge Plaza SouthCity: Fort LeeState/Prov.: NJCountry: USAZIP: 07024

## 6. Total number of applications and patents involved:

17. Total fee (37 CFR 3.41):.....\$ 40.00☐ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☒ Authorized to be charged to deposit account

## 8. Deposit account number:

19-4968

(Attach duplicate copy of this page if paying by deposit account)

## DO NOT USE THIS SPACE

## 9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Sidney Persley

Name of Person Signing

Sidney Persley

Signature

03/31/04

Date

3

Total number of pages including cover sheet, attachments, and

**Assignment of Rights, Title and Interest in Invention**  
(Multiple inventors; single assignee)

Docket No.  
C-586

*This is an Assignment of the following rights, title and interest: (check all that apply):*

☐ *United States of America rights, title and interest in the invention*

☒ *Foreign rights, title and interest in the invention*

☒ *United States Patent Application Serial No.* 10/730,212

*Date of Execution:* \_\_\_\_\_ *Date of Filing:* 12/05/03

☐ *United States Provisional Patent Application Serial No.* \_\_\_\_\_

☐ *United States Patent No(s).* \_\_\_\_\_

☐ *International (PCT) Patent Application Serial No.* \_\_\_\_\_

☐ *Other (specify)* \_\_\_\_\_

**Title of the Invention**

Polymeric Dispersant

**Inventors (assignors)**

<i>Name</i>	<i>Address</i>
Gregory T. Huber	7735 Chelsea Court, Indian Springs, OH 45011
Niranjan Deo	5754 Running Fox Lane, Mason, OH 45040
Paul A. Merchak	10063 Fox Chase Drive, Loveland, OH 45140
Terence R. Chamberlain	11710 Laurelview Drive, Montgomery, OH 45246
Russell J. Schwartz	8300 Monte Drive, Cincinnati, OH 45243

**Assignee**

<i>Name</i>	<i>Address</i>
Sun Chemical Corporation	222 Bridge Plaza South, Fort Lee, NJ 07024



Assignment of Rights, Title and Interest in Invention  
(Multiple inventors; single assignee)

Docket No.  
C-586

Whereas, we, the above-identified Inventors, have invented certain new and useful improvements in the Invention identified above and described in the above-identified patent application(s) and/or patent(s) (hereinafter referred to as "Invention");

And, whereas we desire to assign our above-identified rights, title and interest in the Invention to the above-identified Assignee;

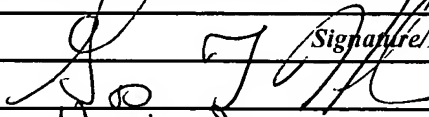
Now, this indenture witnesseth, that for good and valuable consideration, the receipt whereof is hereby acknowledged;

We hereby assign, sell and transfer our above-identified rights, title and interest in said Invention, said application(s) as identified above, including any divisions, continuations, and continuations-in-part thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted or have granted for said Invention, and in and to any and all reissues and reexaminations thereof, and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to us with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, unto said Assignee;

And we hereby authorize and request the Commissioner of Patents and Trademarks to issue any United States Letters Patent which may issue for said Invention to said Assignee, as assignee of the whole right, title and interest thereto;

And we further agree to sign and execute all necessary and lawful future documents, including applications for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid Invention, as the Assignee or its Designee(s) may from time to time require and prepare at its own expense.

Inventors' Signatures (if Notarization is desired, do not sign here and proceed to next page)

Name	Signature/Date
Gregory T. Huber	 3/15/04
Niranjan Deo	Niranjan Deo 3/24/04
Paul A. Merchak	Paul A. Merchak 3/16/04
Terence R. Chamberlain	TR Chamberlain 3/19/04
Russell J. Schwartz	Russell Schwartz 3/23/04